

[] Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. *See* Instruction 1(b).

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL OMB Number: 3235-0287 Estimated average burden hours per response... 0.5

## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person *						2. Issuer Name and Ticker or Trading Symbol								5. Relationship of Reporting Person(s) to Issuer (Check all applicable)			
Olli Amy Fliegelman						VMWARE, INC. [ VMW ]											
(Last) (First) (Middle)				3. ]	Date	of Earli	iest Transa	actio	n (MM/	OD/YYYY	Director						
														_X_ Officer (give title below) Other (specify below)  EVP, GC & Secretary			
3401 HILLVIEW AVENUE								11/1	1/2	021		EVP, GC &	secretary				
(Street)						4. If Amendment, Date Original Filed (MM/DD/YYYY)							Y) 6. Individual	6. Individual or Joint/Group Filing (Check Applicable Line)			
PALO ALTO, CA 94304 (City) (State) (Zip)														X _ Form filed by One Reporting Person Form filed by More than One Reporting Person			
Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned																	
1. Title of Security (Instr. 3)							3. Trans. Co (Instr. 8)	de	4. Securities Acquired (A or Disposed of (D) (Instr. 3, 4 and 5)				5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)		Ownership Form: Be Direct (D) Ov	Beneficial Ownership	
								Code	V	Amoun	(A) or (D)	Price	,			or Indirect (I) (Instr. 4)	(Instr. 4)
Class A Common Stock 11/11/2021				/2021			F		1277 <u>(T</u>	D	\$124.1	8 8	80221 <sup>(2)</sup>				
	Tab	le II - Dei	ivativ	e Seci	urities	Bene	eficially	Owned (	e.g.,	puts,	calls, wa	rran	ts, options, conve	rtible secı	urities)		
1. Title of Derivate Security (Instr. 3)	or Exercise Price of Derivative	3. Trans. Date	Executi	3A. Deemed 4. (In Date, if any		5. Code 5. Number of Derivative Securiti Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)		ve Securities I (A) or I of (D)	6. Date Exercisable and Expiration Date		Securi Deriva	e and Amount of ties Underlying tive Security 3 and 4)	Derivative Security	Securities Beneficially Owned	Ownership Form of Derivative Security:	11. Nature of Indirect Beneficial Ownership (Instr. 4)	
	Security				Code	V	(A)	(D)	Date		Expiration Date	Title	Amount or Number of Shares		Following Reported Transaction(s) (Instr. 4)	Direct (D) or Indirect (I) (Instr. 4)	

### **Explanation of Responses:**

- (1) Shares withheld by VMware, Inc. to satisfy taxes payable in connection with the vesting of previously awarded restricted stock units.
- (2) Reflects equitable adjustment to the number of unvested RSUs as of November 1, 2021 in connection with VMware's special cash dividend. Pursuant to the adjustment, the number of unvested RSUs was multiplied by a conversion factor of 1.2191.

#### **Reporting Owners**

reporting owners									
Paparting Owner Name / Address	Relationships								
Reporting Owner Name / Address	Director	10% Owner	Officer	Other					
Olli Amy Fliegelman									
3401 HILLVIEW AVENUE			EVP, GC & Secretary						
PALO ALTO, CA 94304									

### **Signatures**

/s/ Craig Norris, attorney-in-fact 11/15/2021
\*\*Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.